

# On the equivalence and conjugacy of weighted automata

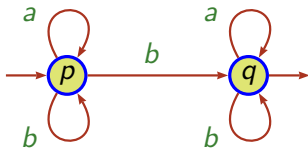
Marie-Pierre Béal, Sylvain Lombardy, and Jacques Sakarovitch

IGM – Univ. Marne-la-Vallée, and LTCI – CNRS/ENST

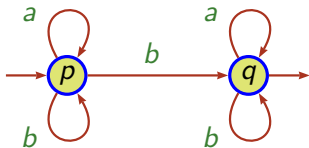
## *Part I*

*A brief view on weighted automata*

## A first example



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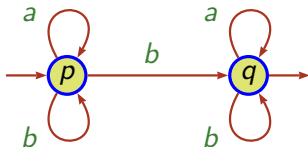


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$$bab \mapsto 2$$

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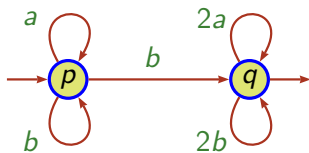
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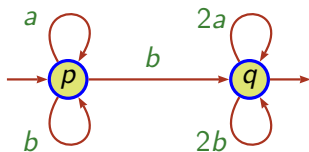
$$bab \mapsto 2$$

$$\forall w \in A^* \quad w \mapsto |w|_b$$

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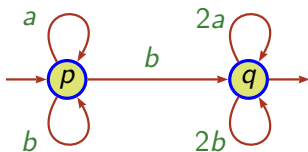


$$p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q$$

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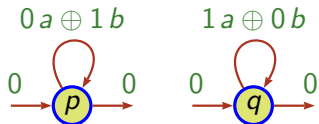
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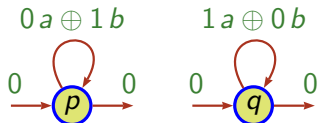
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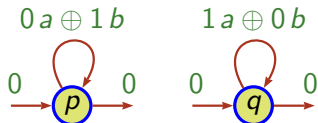


$$\begin{array}{ccccccc} p & \xrightarrow{1b} & p & \xrightarrow{0a} & p & \xrightarrow{1b} & p \\ q & \xrightarrow{0b} & q & \xrightarrow{1a} & q & \xrightarrow{0b} & q \end{array}$$

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$$\forall w \in A^* \quad w \mapsto \min\{|w|_a, |w|_b\}$$

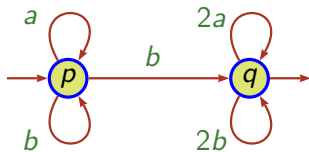
## Universality of the multiplicity

- ▶  $\mathbb{B}$  classical automata
- ▶  $\mathbb{N}$  classical counting
- ▶  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$  numerical multiplicity
- ▶  $\mathcal{M} = \langle \mathbb{N}, \min, + \rangle$  Min-plus semiring
- ▶  $\mathfrak{P}(B^*) = \mathbb{B}\langle\langle B^* \rangle\rangle$  Transducers
- ▶  $\mathbb{N}\langle\langle B^* \rangle\rangle$  Transducers with multiplicity

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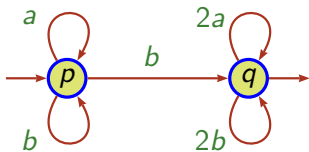
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- ▶  $\mathfrak{P}(F(B))$  Pushdown automata
- ▶  $\text{Rat } \mathbb{N}^k$  Timed automata

## The semiring of formal power series



$$\forall w \in A^* \quad w \mapsto \langle w \rangle_2$$

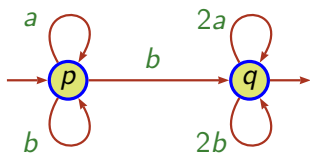
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$$s: A^* \longrightarrow \mathbb{N} \quad s: w \mapsto \langle s, w \rangle \quad s \in \mathbb{N}^{A^*}$$

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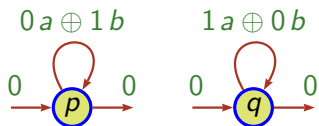


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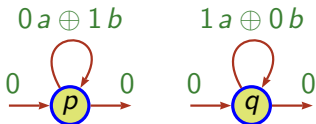
$$s = b + ab + 2ba + 3bb + aab \\ + 2aba + 3abb + 4baa + 5bab + \dots$$

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$$\forall w \in A^* \quad w \longmapsto \min\{|w|_a, |w|_b\}$$

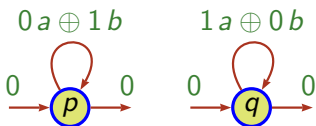
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$$s = 01_{A^*} \oplus 0a \oplus 0b \oplus 0aa \oplus 1ab \oplus 1ba \oplus 0bb \\ \oplus 0aaa \oplus 1aab \oplus 1aba \oplus 1abb \oplus \dots$$

## The semiring of formal power series

For any semiring  $\mathbb{K}$ ,  $\mathbb{K}^{A^*}$  is a semiring, denoted by  $\mathbb{K}\langle\langle A^* \rangle\rangle$ , defined by:

$$\begin{array}{llll} \text{addition} & s + t & \forall f \in A^* & \langle s + t, f \rangle = \langle s, f \rangle + \langle t, f \rangle, \\ \text{(Cauchy) product} & s t & \forall f \in A^* & \langle s t, f \rangle = \sum_{\substack{u, v \in A^* \\ uv=f}} \langle s, u \rangle \langle t, v \rangle \end{array}$$

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a  $\mathbb{K}$ -semi-algebra indeed:

$$\begin{array}{lll} k s & \forall f \in A^* & \langle k s, f \rangle = k \langle s, f \rangle \\ s k & \forall f \in A^* & \langle s k, f \rangle = \langle s, f \rangle k, \end{array}$$

## The semiring of formal power series

**Series play the role of languages**

$\mathbb{K}\langle\langle A^* \rangle\rangle$  plays the role of  $\mathfrak{P}(A^*)$

## Rationality

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- ▶ the star operation:

$$s^* = \sum_{n \in \mathbb{N}} s^n$$

Questions and problems:

- ▶ How to give a meaning to such an expression?
- ▶ For which  $s$  is the star operation defined?
- ▶ What are the “properties” of the star?

# Rationality

## Theorem

[**The fundamental theorem of automata**]

*What you can do with automata is exactly  
what you can do with rational operations.*

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Equivalence may be decidable

within certain (decidable or undecidable) subfamilies

of families of automata in which equivalence is undecidable.

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transducers with multiplicity in $\mathbb{N}$	decidable
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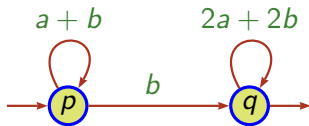
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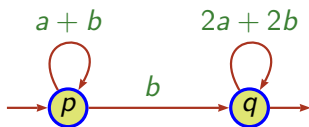
## *Part II*

### *Conjugacy and equivalence*

## Automata are matrices

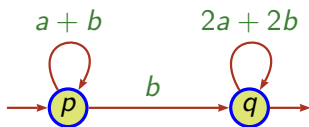


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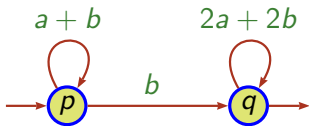


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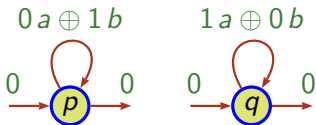
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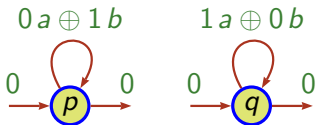
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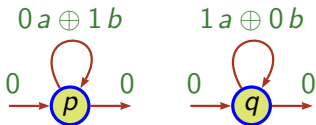
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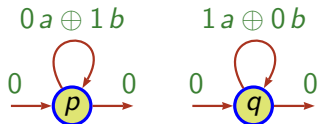
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## Conjugacy

### Definition

Let  $\mathcal{A} = \langle I, E, T \rangle$  and  $\mathcal{B} = \langle J, F, U \rangle$  be two  $\mathbb{K}$ -automata.

$\mathcal{A}$  is **conjugated to**  $\mathcal{B}$  if there exists a  $\mathbb{K}$ -matrix  $X$  such that :

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$$IETT = IEEXU = IEXFU = IXFFU$$

## Conjugacy

### Definition

Let  $\mathcal{A} = \langle I, E, T \rangle$  and  $\mathcal{B} = \langle J, F, U \rangle$  be two  $\mathbb{K}$ -automata.

$\mathcal{A}$  is **conjugated to**  $\mathcal{B}$  if there exists a  $\mathbb{K}$ -matrix  $X$  such that :

$$IX = J, \quad EX = XF, \quad \text{and} \quad T = XU .$$

This denoted as  $\mathcal{A} \xrightarrow{X} \mathcal{B}$  .

Conjugacy is a *preorder*

(transitive and reflexive, but not symmetric).

$\mathcal{A} \xrightarrow{X} \mathcal{B}$  implies that  $\mathcal{A}$  and  $\mathcal{B}$  are *equivalent*.

$$I E E T = I E E X U = I E X F U = I X F F U = J F F U$$

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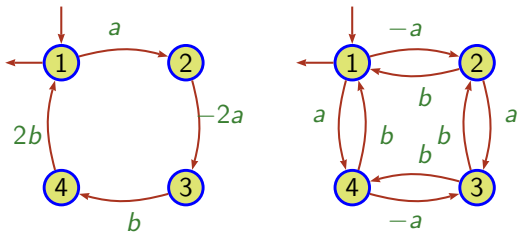
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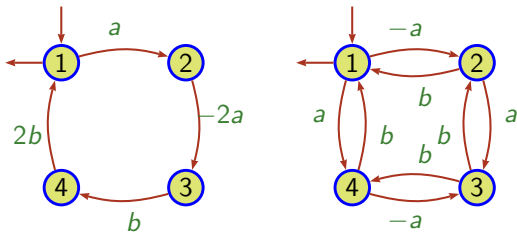
$$I E E T = I E E X U = I E X F U = I X F F U = J F F U$$

$$\text{and then} \quad I E^* T = J F^* U$$

## Conjugacy : an example



## Conjugacy : an example



$$\begin{pmatrix} 0 & a & 0 & 0 \\ 0 & 0 & -2a & 0 \\ 0 & 0 & 0 & b \\ 2b & 0 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 & -a & 0 & a \\ b & 0 & a & 0 \\ 0 & b & 0 & b \\ b & 0 & -a & 0 \end{pmatrix}$$

## Conjugacy and equivalence : the result

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### Theorem

Let  $\mathcal{A}$  and  $\mathcal{B}$  be two  $\left\{ \begin{array}{l} \text{Boolean automata} \\ \mathbb{N}\text{-automata} \\ \mathbb{Z}\text{-automata} \\ \mathbb{K}\text{-automata, } \mathbb{K} \text{ a field} \\ \text{functional transducers} \end{array} \right.$  .

If  $\mathcal{A}$  and  $\mathcal{B}$  are *equivalent* then there exists a  $\mathcal{C}$  such that:

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

## *Part III*

### *Conjugacy and automata morphisms*

# Automata morphisms

Overall idea of morphisms

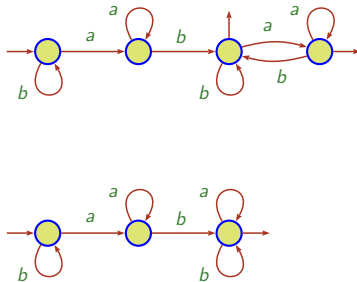
Can we do the same with less?

Can we do the same in another way?

Transformations that respect the *structure* of automata

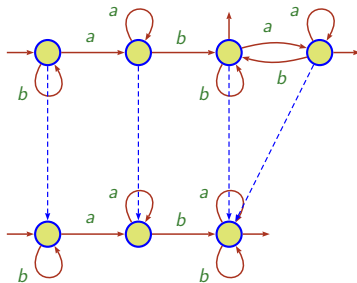
# Automata morphisms

Reduction of deterministic automata

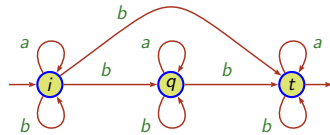
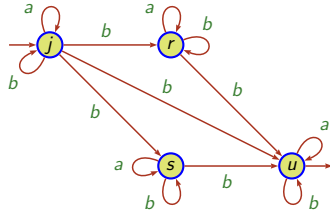


# Automata morphisms

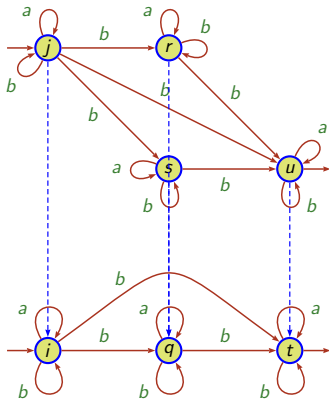
Reduction of deterministic automata



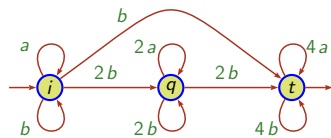
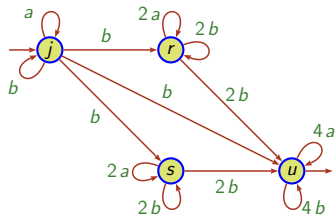
# Automata morphisms



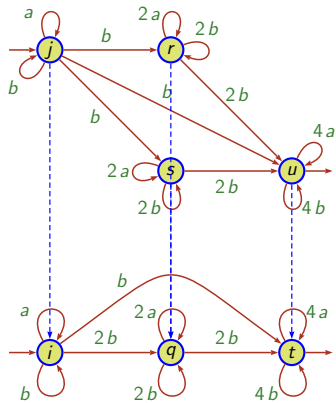
# Automata morphisms



# $\mathbb{K}$ -quotient



# $\mathbb{K}$ -quotient



## $\mathbb{K}$ -quotient

$$\underbrace{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}}_{R_2} \left. \vphantom{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}} \right\} R_2$$

## $\mathbb{K}$ -quotient

$$\underbrace{\left( \begin{array}{cccc} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{array} \right)}_{R_2} \left. \vphantom{\left( \begin{array}{cccc} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{array} \right)} \right\} R_2$$

$$\underbrace{\left( \begin{array}{ccc} a+b & 2b & b \\ 0 & 2a+2b & 2b \\ 0 & 2a+2b & 2b \\ 0 & 0 & 4a+4b \end{array} \right)}_{Q_2} \left. \vphantom{\left( \begin{array}{ccc} a+b & 2b & b \\ 0 & 2a+2b & 2b \\ 0 & 2a+2b & 2b \\ 0 & 0 & 4a+4b \end{array} \right)} \right\} R_2$$

## $\mathbb{K}$ -quotient

$$\underbrace{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}}_{R_2} \left. \vphantom{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}} \right\} R_2$$

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## $\mathbb{K}$ -quotient is a conjugacy

$$\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} =$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} a+b & 2b & b \\ 0 & 2a+2b & 2b \\ 0 & 0 & 4a+4b \end{pmatrix}$$

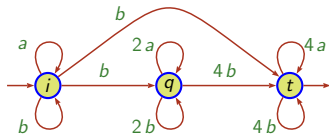
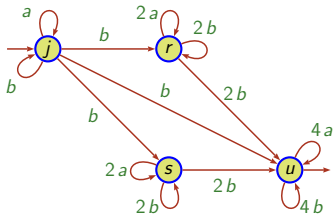
## $\mathbb{K}$ -quotient is a conjugacy

$$\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} =$$
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} a+b & 2b & b \\ 0 & 2a+2b & 2b \\ 0 & 0 & 4a+4b \end{pmatrix}$$

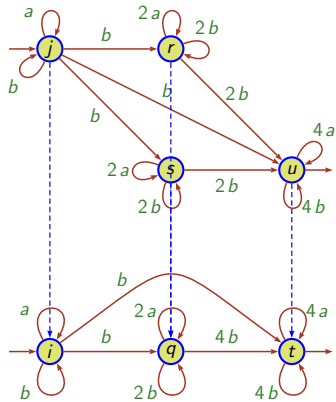
### Proposition

If  $\varphi: \mathcal{A} \rightarrow \mathcal{B}$  is a  $\mathbb{K}$ -quotient, then  $\mathcal{A} \xrightarrow{H_\varphi} \mathcal{B}$ .

## co- $\mathbb{K}$ -quotient



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$$\underbrace{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}}_{R_2} \left. \vphantom{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 0 & 2b \\ 0 & 0 & 2a+2b & 2b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}} \right\} R_2$$

$$\underbrace{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 2a+2b & 4b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}}_{R_2} \left. \vphantom{\begin{pmatrix} a+b & b & b & b \\ 0 & 2a+2b & 2a+2b & 4b \\ 0 & 0 & 0 & 4a+4b \end{pmatrix}} \right\} Q_2$$

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## co- $\mathbb{K}$ -quotient is a 'co-conjugacy'

$$\begin{pmatrix} a+b & b & b \\ 0 & 2a+2b & 4b \\ 0 & 0 & 4a+4b \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} =$$

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## co- $\mathbb{K}$ -quotient is a 'co-conjugacy'

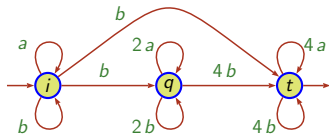
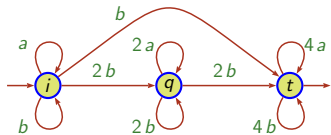
$$\begin{pmatrix} a+b & b & b \\ 0 & 2a+2b & 4b \\ 0 & 0 & 4a+4b \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} =$$

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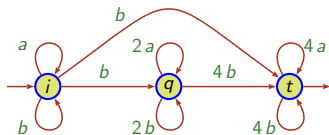
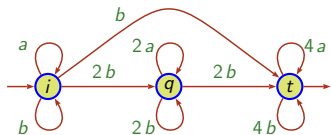
### Proposition

If  $\varphi: \mathcal{A} \rightarrow \mathcal{B}$  is a co- $\mathbb{K}$ -quotient, then  $\mathcal{B} \xrightarrow{H_\varphi^t} \mathcal{A}$ .

## $\mathbb{K}$ -circulation of coefficients



## $\mathbb{K}$ -circulation of coefficients



### Definition

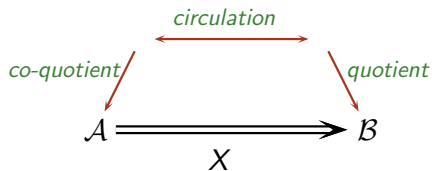
A  $\mathbb{K}$ -circulation of coefficients is a conjugacy by a square *diagonal* matrix of *invertible* elements of  $\mathbb{K}$ .

## The result

### Theorem

Let  $\mathcal{A}$  and  $\mathcal{B}$  be two  $\left\{ \begin{array}{l} \mathbb{Z}\text{-automata} \\ \mathbb{K}\text{-automata, } \mathbb{K} \text{ a field} \\ \text{functional transducers} \end{array} \right.$ .

Then, if  $\mathcal{A} \xrightarrow{X} \mathcal{B}$ , it holds:

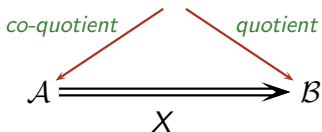


## The result

### Theorem

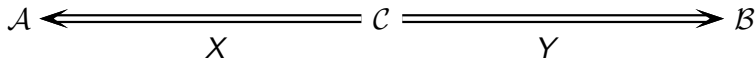
Let  $\mathcal{A}$  and  $\mathcal{B}$  be two  $\left\{ \begin{array}{l} \text{trim Boolean automata} \\ \text{trim } \mathbb{N}\text{-automata} \end{array} \right.$ .

Then, if  $\mathcal{A} \xrightarrow{X} \mathcal{B}$ , it holds:



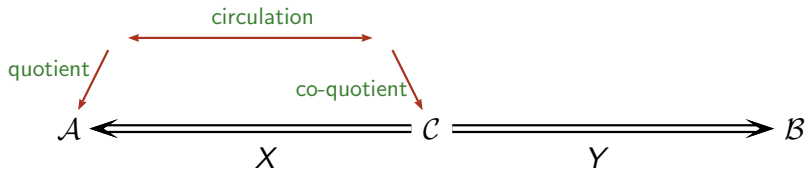
## Equivalence and $\mathbb{K}$ -morphisms

The structural characterization of equivalence



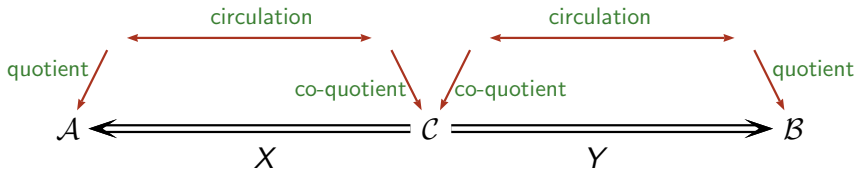
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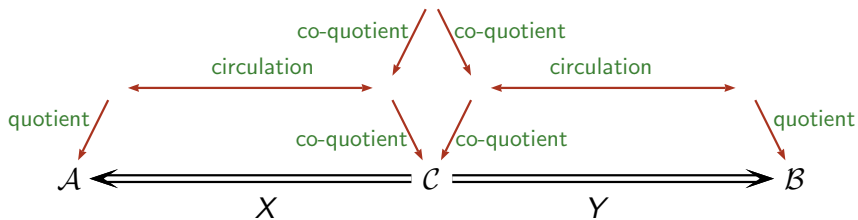
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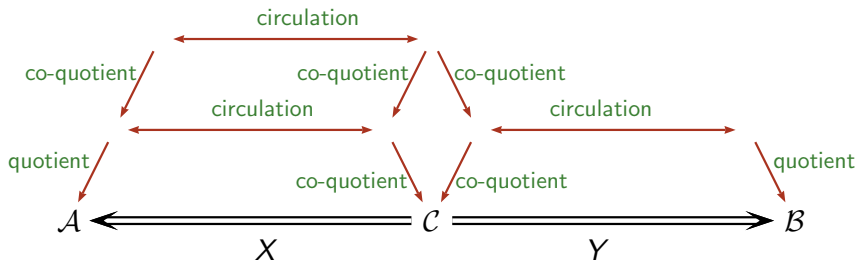
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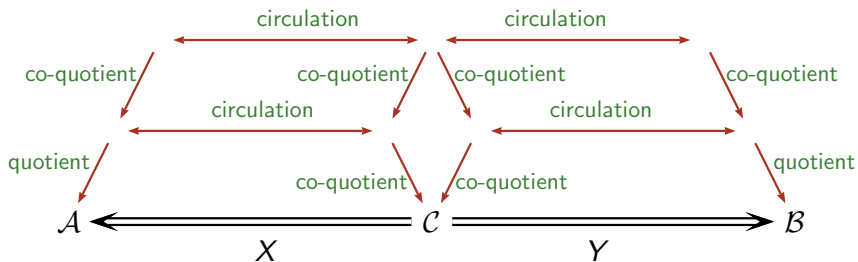
## Equivalence and $\mathbb{K}$ -morphisms

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## Equivalence and $\mathbb{K}$ -morphisms

The structural characterization of equivalence



## *Part IV*

*An application to automatic structures*

## The result

### Proposition

*If two languages have the same growth function,  
then there exists a letter-to-letter rational bijection  
that maps one language onto the other.*

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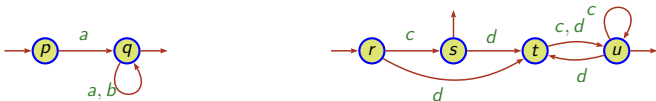
$$\forall L \subseteq A^*, \forall n \in \mathbb{N} \quad g_L(n) = \|\{w \mid w \in L\}\|$$

## An example

$$L_1 = a(a + b)^* \quad \text{and} \quad L_2 = (c + dc + dd)^* \setminus cc(c + d)^*$$

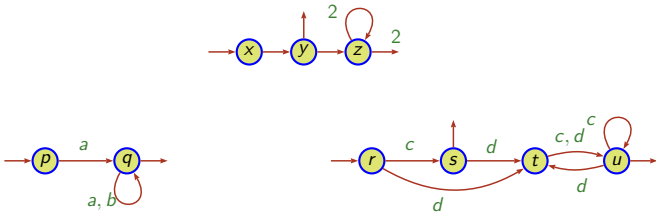
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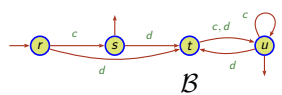
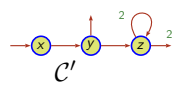
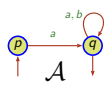
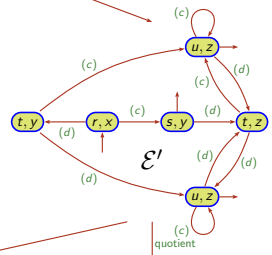
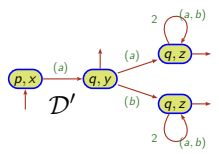
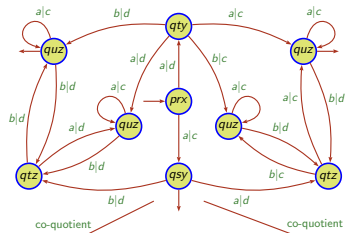


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$T$



**Conclusion: open questions**

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The relationship between

*conjugacy*, *equivalence*, and  $\mathbb{K}$ -*morphisms*

remains **open** for several semirings:

**min-plus** or **max-plus** semirings, **non functional** transducers,

...

## Conclusion: open questions

The relationship between

*conjugacy*, *equivalence*, and  $\mathbb{K}$ -*morphisms*

remains **open** for several semirings:

**min-plus** or **max-plus** semirings, **non functional** transducers,

...

Are there cases

where equivalence is **undecidable** and conjugacy *decidable* ?